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3706/1001 Frey

February 9, 2007

Date

| TRANSMITTAL | | Application No. | 10/539,271 | | | |
|--|---|--|---|--|--|--|
| TRANSMITTAL FORM | | Filing Date | June 15, 2005 | | | |
| (to be used for all correspondence a | fter initial filing) | First Named Inventor | Andrea Casoni | | | |
| | | Art Unit | | | | |
| | | Examiner Name | | | | |
| Total Number of Pages in This Submiss | sion 7 | Attorney Docket Number | 6097P068 | | | |
| ENCL | OSURES (chec | k all that apply) | | | | |
| Fee Transmittal Form | Drawing(s) | • | After Allowance Communication to TC | | | |
| Fee Attached | Licensing- | related Papers | Appeal Communication to Board of Appeals and Interferences | | | |
| Amendment / Reply | Petition | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | | | |
| After Final Affidavits/declaration(s) | | Convert a Application | Proprietary Information | | | |
| Extension of Time Request | Power of A Change of | Attorney, Revocation Correspondence Address | Status Letter Other Enclosure(s) | | | |
| Express Abandonment Request | Terminal D | Disclaimer | Other Enclosure(s) (please identify below): | | | |
| Information Disclosure Statement | Request fo | | Return Receipt Postcard (1); Copies of Cited Art (2). | | | |
| PTO/SB/08 Certified Copy of Priority Document(s) | _ | er of CD(s) cape Table on CD | | | | |
| Response to Missing Parts/ Incomplete Application Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | | | | | |
| SIGNATU | IRE OF APPLICA | NT, ATTORNEY, OR AC | BENT | | | |
| Firm Michael J. M | allie, Reg. No. 3 | 6,591 | | | | |
| 1 - | SOKOLOFF, | TAYLOR & ZAFM | AN LLP | | | |
| Signature | | | | | | |
| Date February 9, | Date February 9, 2007 | | | | | |
| | | ING/TRANSMISSION | | | | |
| I hereby certify that this correspondence is sufficient postage as first class mail in an 1450, Alexandria, VA 22313-1450. | being deposited wit envelope addressed | th the United States Postal to: Mail Stop Amendment, | Service on the date shown below with Commissioner for Patents, P.O. Box | | | |
| Typed or printed name Kelly Patterson | | | | | | |

Signature



FEE TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

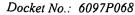
Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

| Complete if Known | | | |
|----------------------|---------------|--|--|
| Application Number | 10/539,271 | | |
| Filing Date | June 15, 2005 | | |
| First Named Inventor | Andrea Casoni | | |
| Examiner Name | | | |
| Art Unit | | | |
| Attorney Docket No. | 6097P068 | | |

| METHOD OF PAYMENT (check all that apply) | | | | | | | |
|--|--|-----------|---------|---|----------|--|--|
| Check | Check Credit card Money Order None Other (please identify): | | | | | | |
| Deposit A | ccount D | eposit Ac | count N | umber: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Za | fman LLP | | |
| ☐ Cha X Cha | For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. | | | | | | |
| FEE CALCUI | ATION | | | | | | |
| Large En | tity | Small | Entity | | | | |
| Fee | Fee | Fee | Fee | Fee Description | Fee Paid | | |
| Code | (\$) | Code | (\$) | · | | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | |
| 2053 | · 130 | 2053 | 130 | Non-English specification | | | |
| 1251 | 120 | 2251 | 60 | Extension for reply within first month | | | |
| 1252 | 450 | 2252 | 225 | Extension for reply within second month | | | |
| 1253 | 1,020 | 2253 | 510 | Extension for reply within third month | | | |
| 1254 | 1,590 | 2254 | 795 | Extension for reply within fourth month | | | |
| 1255 | 2,160 | 2255 | 1,080 | Extension for reply within fifth month | | | |
| 1401 | 500 | 2401 | 250 | Notice of Appeal | | | |
| 1402 | 500 | 2402 | 250 | Filing a brief in support of an appeal | | | |
| 1403 | 1,000 | 2403 | 500 | Request for oral hearing | | | |
| 1451 | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding | | | |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner | | | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | | | |
| 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | | | |
| 1809 | 790 | 1809 | 395 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 1810 | 790 | 2810 | | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| Other fe | l ee (spe | cifv) _ | | | | | |
| | /- F | • / | | SUBTOTAL (2) (\$) | | | |

| SUBMITTED BY | Υ | | | Comp | olete (if applicable) |
|-------------------|-------------------|--------------------------------------|--------|-----------|-----------------------|
| Name (Print/Type) | Michael J. Mallie | Registration No. (Attorney/Agent) | 36,591 | Telephone | (408) 720-8300 |
| Signature | * | | | Date | 02/09/07 |





Ke the Application of:

Andrea Casoni, et al.

Application No.: 10/539,271

Filed: June 15, 2005

FEB 1 3 2007

For: **CORRECTED PARAMETER CONTROL**

METHOD FOR A TWO-SHAFT GAS

TURBINE

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Michael J. Mallie, Reg. No. 36,591

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (408) 720-8300

Date: February 09, 2007

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kelly Patterson Date

-2- 6097P068

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|---------------|-----------------------------------|----------------------|-------------------|
| FEB 1 3 200 | Supstitute for form 1449A/PTO | | Complete if Known |
| '' | | Application Number | 10/539,271 |
| 133 | NFORMATION DISCLOSURE | Filing Date | June 15, 2005 |
| TANT & TRADES | STATEMENT BY APPLICANT | First Named Inventor | Andrea Casoni |
| | (use as many sheets as necessary) | Art Unit | İ |
| | (000 do many oncolo do nocessary) | Examiner Name | |

of

Sheet

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| | | | U.S. PATEN | IT DOCUMENTS | | |
|-----------|------|--|--------------------------------|-----------------------------|---|--|
| Examiner | Cite | Document Number | Publication Date or Issue Date | Name of Patentee or | Pages, Columns, Lines, Where Relevant Passages or Relevant | |
| Initials* | No.' | Number - Kind Code ² (if known) | MM-DD-YYYY | Applicant of Cited Document | Figures Appear | |
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Attorney Docket Number 6097P068

| | FOREIGN PATENT DOCUMENTS | | | | | | |
|-----------|--------------------------|---|--------------------------------|--|---|----------|--|
| Examiner | Cite | Foreign Patent Document | | | Pages, Columns, Lines, | 70 | |
| Initials* | No.' | Country Code ³ - Number ⁴ - Kind Code ⁴ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Where Relevant Passages or Relevant Figures Appear | | |
| | 1. | EP 0 728 919 A1 | 08-28-1996 | Mirsky et al. | | _ | |
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

stitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of 2 Sheet 2

| Complete if Known | | | | |
|------------------------|---------------|--|--|--|
| Application Number | 10/539,271 | | | |
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| First Named Inventor | Andrea Casoni | | | |
| Art Unit | | | | |
| Examiner Name | * | | | |
| Attorney Docket Number | 6097P068 | | | |

| NON PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|--------------|---|--|--|
| Examiner Initials* | Cite No.' | Include name of the author (in CAPITAL LETTERS), title of the articlo (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T' | |
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